

<b>Interview Summary</b>	Application No.	Applicant(s)	
	09/497,743	KIM ET AL.	
	Examiner	Art Unit	
	Pankaj Kumar	2631	

All participants (applicant, applicant's representative, PTO personnel):

- (1) Pankaj Kumar. (3) \_\_\_\_\_.
- (2) Douglas Owens. (4) \_\_\_\_\_.

Date of Interview: 4/20-5/4/2004.

Type: a) ☒ Telephonic b) ☐ Video Conference  
c) ☐ Personal [copy given to: 1) ☐ applicant 2) ☐ applicant's representative]

Exhibit shown or demonstration conducted: d) ☐ Yes e) ☒ No.  
If Yes, brief description: \_\_\_\_\_.

Claim(s) discussed: 1,2,4,5,7 and 9.

Identification of prior art discussed: \_\_\_\_\_.

Agreement with respect to the claims f) ☐ was reached. g) ☐ was not reached. h) ☒ N/A.

Substance of Interview including description of the general nature of what was agreed to if an agreement was reached, or any other comments: see attached.

(A fuller description, if necessary, and a copy of the amendments which the examiner agreed would render the claims allowable, if available, must be attached. Also, where no copy of the amendments that would render the claims allowable is available, a summary thereof must be attached.)

THE FORMAL WRITTEN REPLY TO THE LAST OFFICE ACTION MUST INCLUDE THE SUBSTANCE OF THE INTERVIEW. (See MPEP Section 713.04). If a reply to the last Office action has already been filed, APPLICANT IS GIVEN ONE MONTH FROM THIS INTERVIEW DATE, OR THE MAILING DATE OF THIS INTERVIEW SUMMARY FORM, WHICHEVER IS LATER, TO FILE A STATEMENT OF THE SUBSTANCE OF THE INTERVIEW. See Summary of Record of Interview requirements on reverse side or on attached sheet.

Examiner Note: You must sign this form unless it is an Attachment to a signed Office action.

  
Examiner's signature, if required

## Summary of Record of Interview Requirements

### Manual of Patent Examining Procedure (MPEP), Section 713.04, Substance of Interview Must be Made of Record

A complete written statement as to the substance of any face-to-face, video conference, or telephone interview with regard to an application must be made of record in the application whether or not an agreement with the examiner was reached at the interview.

### Title 37 Code of Federal Regulations (CFR) § 1.133 Interviews

#### Paragraph (b)

In every instance where reconsideration is requested in view of an interview with an examiner, a complete written statement of the reasons presented at the interview as warranting favorable action must be filed by the applicant. An interview does not remove the necessity for reply to Office action as specified in §§ 1.111, 1.135. (35 U.S.C. 132)

#### 37 CFR §1.2 Business to be transacted in writing.

All business with the Patent or Trademark Office should be transacted in writing. The personal attendance of applicants or their attorneys or agents at the Patent and Trademark Office is unnecessary. The action of the Patent and Trademark Office will be based exclusively on the written record in the Office. No attention will be paid to any alleged oral promise, stipulation, or understanding in relation to which there is disagreement or doubt.

The action of the Patent and Trademark Office cannot be based exclusively on the written record in the Office if that record is itself incomplete through the failure to record the substance of interviews.

It is the responsibility of the applicant or the attorney or agent to make the substance of an interview of record in the application file, unless the examiner indicates he or she will do so. It is the examiner's responsibility to see that such a record is made and to correct material inaccuracies which bear directly on the question of patentability.

Examiners must complete an Interview Summary Form for each interview held where a matter of substance has been discussed during the interview by checking the appropriate boxes and filling in the blanks. Discussions regarding only procedural matters, directed solely to restriction requirements for which interview recordation is otherwise provided for in Section 812.01 of the Manual of Patent Examining Procedure, or pointing out typographical errors or unreadable script in Office actions or the like, are excluded from the interview recordation procedures below. Where the substance of an interview is completely recorded in an Examiners Amendment, no separate Interview Summary Record is required.

The Interview Summary Form shall be given an appropriate Paper No., placed in the right hand portion of the file, and listed on the "Contents" section of the file wrapper. In a personal interview, a duplicate of the Form is given to the applicant (or attorney or agent) at the conclusion of the interview. In the case of a telephone or video-conference interview, the copy is mailed to the applicant's correspondence address either with or prior to the next official communication. If additional correspondence from the examiner is not likely before an allowance or if other circumstances dictate, the Form should be mailed promptly after the interview rather than with the next official communication.

The Form provides for recordation of the following information:

- Application Number (Series Code and Serial Number)
- Name of applicant
- Name of examiner
- Date of interview
- Type of interview (telephonic, video-conference, or personal)
- Name of participant(s) (applicant, attorney or agent, examiner, other PTO personnel, etc.)
- An indication whether or not an exhibit was shown or a demonstration conducted
- An identification of the specific prior art discussed
- An indication whether an agreement was reached and if so, a description of the general nature of the agreement (may be by attachment of a copy of amendments or claims agreed as being allowable). Note: Agreement as to allowability is tentative and does not restrict further action by the examiner to the contrary.
- The signature of the examiner who conducted the interview (if Form is not an attachment to a signed Office action)

It is desirable that the examiner orally remind the applicant of his or her obligation to record the substance of the interview of each case. It should be noted, however, that the Interview Summary Form will not normally be considered a complete and proper recordation of the interview unless it includes, or is supplemented by the applicant or the examiner to include, all of the applicable items required below concerning the substance of the interview.

A complete and proper recordation of the substance of any interview should include at least the following applicable items:

- 1) A brief description of the nature of any exhibit shown or any demonstration conducted,
- 2) an identification of the claims discussed,
- 3) an identification of the specific prior art discussed,
- 4) an identification of the principal proposed amendments of a substantive nature discussed, unless these are already described on the Interview Summary Form completed by the Examiner,
- 5) a brief identification of the general thrust of the principal arguments presented to the examiner,  
(The identification of arguments need not be lengthy or elaborate. A verbatim or highly detailed description of the arguments is not required. The identification of the arguments is sufficient if the general nature or thrust of the principal arguments made to the examiner can be understood in the context of the application file. Of course, the applicant may desire to emphasize and fully describe those arguments which he or she feels were or might be persuasive to the examiner.)
- 6) a general indication of any other pertinent matters discussed, and
- 7) if appropriate, the general results or outcome of the interview unless already described in the Interview Summary Form completed by the examiner.

Examiners are expected to carefully review the applicant's record of the substance of an interview. If the record is not complete and accurate, the examiner will give the applicant an extendable one month time period to correct the record.

### Examiner to Check for Accuracy

If the claims are allowable for other reasons of record, the examiner should send a letter setting forth the examiner's version of the statement attributed to him or her. If the record is complete and accurate, the examiner should place the indication, "Interview Record OK" on the paper recording the substance of the interview along with the date and the examiner's initials.

Art Unit: 2631

4/20/2004

Applicant's representative indicated that claim 3 had allowable subject matter and so he incorporated claim 3 into claim 1. Examiner said that claim 3 was dependent on claim 2 which is dependent on claim 1. Applicant's representative said they made a mistake and that claim 3 should have been dependent on claim 1. Applicant's representative also said that it does not make sense to have claim 3 be dependent on claim 2 and claim 2 be dependent on claim 1 since claim 1 has a few steps and claim 2 is a wherein clause about one of the steps and claim 3 is adding more steps. Applicant's representative also said that he made a mistake by making claim 3 be dependent on claim 2. Examiner said he would have to order the application and get back to applicant's representative.

4/21/2004

Examiner asked applicant's representative to fax examiner the claims since it may be a while before examiner can get the application.

4/22/2004

Examiner called applicant's representative back and told him that the examiner has reconsidered his amendment (based on SPE's request) with the primary examiner (Themesghen).

Based on the reconsideration:

Claims 1, 2, 4, and 5 are allowed.

Claim 7 is not allowed based on new art 6,173,007 but claim 9 has allowable subject matter.

Applicant's representative indicated he would talk to the client to determine whether they want to put the limitations of claim 9 into claim 7. Applicant's representative asked examiner to submit either an interview summary or another action withdrawing the finality. Examiner indicated that since we have already submitted an advisory action, it is now his turn to submit something, according to the primary Examiner. Applicant's representative does not want to submit anything before applicant's representative receives the interview summary or an action withdrawing the finality and he indicated that this was an appropriate procedure according to Krista Zele.

Examiner indicated he would discuss with others what is appropriate.

4/26/2004

Applicant's representative asked about the status. Examiner indicated we have to wait for the application and evaluate it to decide what type of reply to send. Examiner had ordered this application last week. Examiner does not know when he will receive it but he hopes it is this week.

Art Unit: 2631

5/4/2004

Examiner called applicant's representative and asked if he wanted to know how the new art 6,173,007 reads on claim 7. He said no and indicated that since the client is in Korea, they cannot make a decision over the phone. So he wants a new action in writing and also wants me to fax an interview summary saying that the finality of the action is withdrawn.

Accordingly, the finality of the action is withdrawn and vacated.

Applicant's representative should be aware that the choice of this new art for the next action is tentative and accordingly the status of all of the claims are tentative.

TEMESGHEN GHERBETINSAE  
PRIMARY EXAMINER

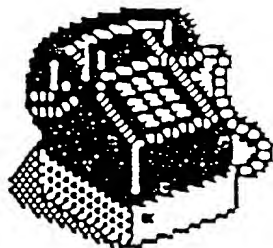
5/4/04



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADE  
Washington, D.C. 20231

# TELECOPY/FACSIMILE TRANSMISSION COVER SHEET



DATE: 5/14/2004

TO: (NAME) Douglas Owens

(COMPANY OR FIRM)

(FAX No.)

(516) 228 8516

FROM: (NAME) Pankaj Kumar

(VOICELINE No.)

703 305 0194

NUMBER OF PAGES 5 INCLUDING THIS PAGE.

*IF YOU HAVE NOT RECEIVED ALL PAGES OF THIS TRANSMISSION, PLEASE CONTACT  
THE PATENT OFFICE TELEFAX OPERATOR:*

☐ PATENT EXAMINING CORPS AND ASST. COMMISSIONER'S OFFICE,  
TELEFAX VOICELINE @ 703-308-1353; OR TELEFAX MACHINES @ 703-308-3718, 19, 20, 01  
TELEFAX LOCATION: CRYSTAL PLAZA BLDG. 3/4 3056

☐ OTHER TELECOPIER NUMBER: \_\_\_\_\_

☒ OTHER VOICELINE NUMBER: 703 305 0194

☐ PATENT EXAMINING GROUP 260  
TELEFAX MACHINE @ 703-305-9508  
TELEFAX LOCATION: CRYSTAL PARK BLDG. 2-8C42